

## Complete if Known

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

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Sheet

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of

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Application Number	09/749,036
Filing Date	December 28, 2000
First Named Inventor	Veronique FERRARI et al.
Art Unit	1615
Examiner Name	J. VENKAT
Attorney Docket Number	05725.0832 -00000

## U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS

Examiner Initials	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
J		US-3,157,681	11/17/1964	Fischer	
J		US-6,503,522 B2	1/7/2003	Lawson et al.	
J		US-6,716,420 B2	4/6/2004	Feng et al.	
		US-			
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Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation <sup>6</sup>
		FR 2 804 014	7/27/2001			
		FR 2 817 742	6/14/2002			
		JP 02/207014	8/16/1990			
		WO 02/47606 A2	6/20/2002			

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation <sup>6</sup>
J		English Language Abstract of FR 2 804 014 from esp@cenet	
J		English Language Abstract of FR 2 817 742 from esp@cenet	
J		Harry's Cosmetology 375-383 (J.B. Wilkinson & R.J. Moore eds., Chemical Pub. 7th ed. 1982).	
J		Office Action in co-pending Application No. 09/733,896 (Attorney Docket No. 05725.0806) dated July 13, 2006 (Ex. Nutter)	
J		Patent Abstracts of Japan of 2/207014	
Examiner Signature		<i>F. C. Gensert</i>	Date Considered 11/20/01

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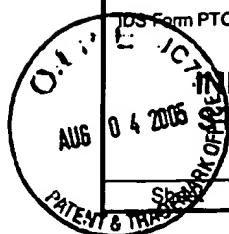
Application Number	09/749,036
Filing Date	December 28, 2000
First Named Inventor	Veronique FERRARI et al.
Art Unit	1615
Examiner Name	J. VENKAT
Attorney Docket Number	05725.0832-00000

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		Number-Kind Code (if known)			
		2,463,264	3/1/1949	Graenacher	
		4,148,875	4/10/1979	Barnett et al.	
		4,247,411	1/27/1981	Vanlerberghe et al.	
		4,278,658	7/14/1981	Hooper et al.	
		4,367,390	1/4/1983	Balleys et al.	
		4,620,492	11/4/1986	Vogg et al.	
		4,724,137	2/9/1988	Hoppe et al.	
		4,822,601	4/18/1989	Goode et al.	
		4,952,245	8/28/1990	Iwano et al.	
		5,034,219	7/23/1991	Deshpande et al.	
		5,061,289	10/29/1991	Clausen et al.	
		5,073,364	12/17/1991	Giezendanner et al.	
		5,085,859	2/4/1992	Halloran et al.	
		5,166,355	11/24/1992	Leistner et al.	
		5,196,260	3/23/1993	Dirshl et al.	
		5,237,071	8/17/1993	Leistner et al.	
		5,252,323	10/12/1993	Richard et al.	
		5,268,029	12/7/1993	Demangeon et al.	
		5,290,555	3/1/1994	Guthauser et al.	
		5,302,398	4/12/1994	Egidio et al.	
		5,486,431	1/23/1996	Tuttle et al.	
		5,489,431	2/6/1996	Ascione et al.	
		5,538,793	7/23/1996	Inokuchi et al.	
		5,585,091	12/17/1996	Pelzer et al.	
		5,605,651	2/25/1997	Balzer	
		5,620,693	4/15/1997	Piot et al.	
		5,628,029	12/7/1993	Demangeon et al.	
		5,695,747	12/9/1997	Forestier et al.	

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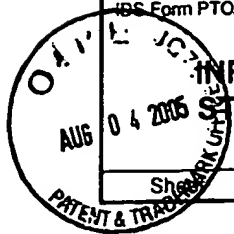


US Form PTO/SB/08: Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				Application Number	09/749,036
				Filing Date	December 28, 2000
				First Named Inventor	Veronique FERRARI et al.
				Art Unit	1615
				Examiner Name	J. VENKAT
Sheet 2	of	6	Attorney Docket Number	05725.0832-00000	

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS					
Examiner Initials	Cite No.	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code (if known)			
J. Venkat		5,702,519	12/30/1997	Nitta et al.	
		5,750,127	5/12/1998	Rokitowski	
		5,769,902	6/23/1988	Samain	
		5,795,565	8/18/1998	Eteve et al.	
		5,830,444	11/3/1998	Miguel	
		5,830,483	11/3/1998	Seidel et al.	
		5,849,909	12/15/1998	Richard et al.	
		5,902,592	5/11/1999	Bara et al.	
		5,908,631	6/1/1999	Arnaud et al.	
		5,955,060	9/21/1999	Huglin et al.	
		5,961,998	10/5/1999	Arnaud et al.	
		5,962,452	10/5/1999	Haase et al.	
		5,972,095	10/26/1999	Graves et al.	
		5,976,512	11/2/1999	Huber	
		5,976,514	11/2/1999	Guskey et al.	
		5,985,298	11/16/1999	Brieva et al.	
		5,993,787	11/30/1999	Sun et al.	
		6,093,385	7/25/2000	Habeck et al.	
		6,132,745 A	10/17/2000	Marchi-Iermann et al.	
		6,159,455	12/12/2000	Habeck et al.	
		6,214,326	4/10/2001	Dupuis	
		6,361,764 B2	3/26/2002	Herve Richard et al.	
		6,428,773 B1	8/6/2002	Oko et al.	
		6,447,759 B2	9/10/2002	Noguchi et al.	
		6,506,716	1/14/2003	Delplancke et al.	
		6,545,174	4/8/2003	Habeck et al.	
		6,761,881 B2	7/13/2004	Bara	
		6,875,245 B2	4/5/2005	Pavlin, Mark S.	

Examiner Signature	<i>J. Venkat</i>	Date Considered	11/20/05
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US Form PTO/SB/08: Substitute for form 1449A/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		Application Number	09/749,036
		Filing Date	December 28, 2000
		First Named Inventor	Veronique FERRARI et al.
		Art Unit	1615
		Examiner Name	J. VENKAT
Sheet 3 of 6	Attorney Docket Number	05725.0832-00000	

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Examiner Initials	Cite No.	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code (if known)			
		US 2002/0010179 A1	1/24/2002	Richard et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation
		Country Code Number Kind Code (if known)				
		DE 197 26 184 A1	12/24/1998	Gers-Barlag		Abstract
		DE 197 55 649 A1	6/17/1999	Thorsten Habeck et al.		Abstract
		DE 198 55 649 A1	6/8/2000	Thorsten Habeck et al.		Abstract
		EP 0 507 692 A1	10/7/1992	Herve Richard et al.		Abstract
		EP 0 517 104 B1	12/9/1992	Giuseppe Raspanti		English
		EP 0 518 772 A1	12/16/1992	Martine Eteve et al.		Abstract
		EP 0 518 773 A1	12/16/1992	Serge Forestier et al.		Abstract
		EP 0 570 838 B1	11/24/1993	Giuseppe Raspanti		English
		EP 0 669 323 A1	8/30/1995	Dr Ralf Pelzer et al.		Abstract
		EP 0 775 698 A1	5/28/1997	Dietmar Huglin et al.		Abstract
		EP 0 790 243 A1	8/20/1997	Herve Richard et al.		Abstract
		EP 0 796 851 A1	9/24/1997	Giuseppe Raspanti		English
		EP 0 863 145 A2	9/9/1998	Ulrich Huber		Abstract
		EP 0 878 469 A1	11/18/1998	Jurg Haase et al.		Abstract
		EP 0 893 119 B1	1/27/1999	Helmut Luther		English
		EP 0 933 376 A2	8/4/1999	Ulrich Huber		English
		EP 0 967 200 A1	12/29/1999	Dr. Thorsten Habeck et al.		Abstract
		EP 1 044 676 A2	10/18/2000	Hiroji Ishii et al.		English
		FR 2 315 991	1/28/1977	Guy Vanlerberghe et al.		Abstract
		FR 2 416 008	8/31/1979	Guy Vanlerberghe et al.		Abstract
		FR 2 796 550	1/26/2001	Laurent Dencausse et al.		Abstract
		GB 1 539 625	1/31/1979	Guy Vanlerberghe et al.		English

Examiner Signature	<i>J. Venkat</i>	Date Considered	11/20/05
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Application Number	09/749,036
Filing Date	December 28, 2000
First Named Inventor	Veronique FERRARI et al.
Art Unit	1615
Examiner Name	J. VENKAT
Attorney Docket Number	05725.0832-00000

## FOREIGN PATENT DOCUMENTS

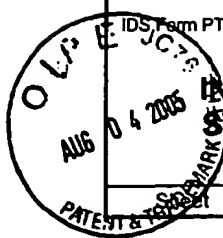
Examiner Initials	Cite No.	Foreign Patent Document Country Code Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation
L		WO 05/013887 A2	2/17/2005	Xiang Shao LU et al		English
		WO 93/04665	3/18/1993	Serge Forestier et al.		Abstract
		WO 98/25922	6/18/1998	Herve Richard et al.		Abstract

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation
L		Bangham, A.D. et al. Diffusion of Univalent Ions across the Lamellae of Swollen Phospholipids, Journal of Molecular Biology, pp. 238-252, Vol. 13, August to October 1965	
L		Co-Pending Application No. 10/494,864; Attorney Docket No. 06028.0047-00 Title: COMPOSITION CONTAINING AN AMINO ACID N-ACYLATED ESTER AND A POLYAMIDE-STRUCTURED UV FILTER U.S. Filing Date: November 23, 2004	
L		Co-Pending Application No. 10/990,475, Attorney Docket No. 05725.1004-01 Title: USE OF A POLYMER FOR OBTAINING AN EXPRESS MAKE-UP OF KERATIN MATERIALS U.S. Filing Date: November 18, 2004	
		Co-Pending Application No. 10/993,430, Attorney Docket No. 05725.1003-01 Title: COSMETIC COMPOSITION COMPRISING A POLYMER BLEND U.S. Filing Date: November 22, 2004	
		Co-Pending Application No. 10/993,431, Attorney Docket No. 05725.0932-01 Title: A TRANSFER-FREE COMPOSITION STRUCTURED IN RIGID FORM BY A POLYMER U.S. Filing Date: November 22, 2004	
		Co-Pending Application No. 11/019,382, Attorney Docket No. 05725.1378 Title: COSMETIC COMPOSITION COMPRISING TWO DIFFERENT HETERO POLYMERS AND METHOD OF USING SAME U.S. Filing Date: December 23, 2004	
		English language DERWENT abstract of DE 197 26 184	
		English language DERWENT abstract of DE 197 55 649 A1	
		English language DERWENT abstract of DE 198 55 649 A1	
		English language DERWENT abstract of EP 0 507 692 A1	
		English language DERWENT abstract of EP 0 518 772 A1	
		English language DERWENT abstract of EP 0 518 773 A1	
		English language DERWENT abstract of EP 0 669 323 A1	

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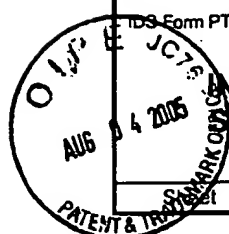


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S		English language DERWENT abstract of EP 0 775 698 A1	
		English language DERWENT abstract of EP 0 790 243 A1	
		English language DERWENT abstract of EP 0 863 145 A2	
		English language DERWENT abstract of EP 0 878 469 A1	
		English language DERWENT abstract of EP 0 967 200 A1	
		English language DERWENT abstract of FR 2 315 991	
		English language DERWENT abstract of FR 2 416 008	
		English language DERWENT abstract of FR 2 796 550	
		English language DERWENT abstract of WO 93/04665	
		English language DERWENT abstract of WO 98/25922	
S		<del>Estee Lauder's Amended Answer and Counterclaims, dated April 21, 2005, in the on-going litigation L'Oreal S.A., et al., v. The Estee Lauder Companies Inc., et al., Civil Action No. 04-1660 (D.N.J.)</del>	
		<del>Estee Lauder's Response to Plaintiff's First Set of Interrogatories (Nos. 1-6), dated September 27, 2004, in the on-going litigation L'Oreal S.A., et al. v. The Estee Lauder Companies Inc., et al., Civil Action No. 04-1660 (D.N.J.)</del>	
		<del>Estee Lauder's Response to Plaintiff's Third Set of Interrogatories (Nos. 8-13), dated June 21, 2005, in the on-going litigation L'Oreal S.A., et al. v. The Estee Lauder Companies Inc., et al., Civil Action No. 04-1660 (D.N.J.)</del>	
		International Search Report in PCT/US03/41618 (Atty. Docket No. 05725.1338-00304), dated March 11, 2005	
		International Search Report in PCT/US04/01071 (Atty. Docket No. 5725.1335-00304), dated February 22, 2005	
		McCutcheon's VOLUME 1: Emulsifiers & Detergents, North American Edition MC Publishing Co., Glen Rock NJ (1993), pp. 272-273.	
		<del>Office Action in co-pending Application No. 09/685,578 (Attorney Docket No. 05725.0659-01) dated February 8, 2005 (Ex. Pryor)</del>	
		<del>Office Action in co-pending Application No. 09/733,898 (Attorney Docket No. 05725.0808) dated April 25, 2005 (Ex. Yoon)</del>	
		<del>Office Action in co-pending Application No. 09/733,899 (Attorney Docket No. 05725.0594) dated May 3, 2005 (Ex. Venkat)</del>	
		<del>Office Action in co-pending Application No. 09/733,900 (Attorney Docket No. 05725.0595) dated December 1, 2004 (Ex. Venkat)</del>	

Examiner Signature	<i>J. Venkat</i>	Date Considered	<i>11/20/05</i>
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		Office Action in co-pending Application No. 09/733,900 (Attorney Docket No. 05725.0595-00), dated June 2, 2005 (Ex. Venkat)	
		Office Action in co-pending Application No. 10/012,052 (Attorney Docket No. 05725.1005-00), dated June 3, 2005 (Ex. Venkat)	
		Office Action in co-pending Application No. 10/182,830 (Attorney Docket No. 05725.0795-01) dated April 4, 2005 (Ex. Venkat)	
		Office Action in co-pending Application No. 10/203,254 (Attorney Docket No. 05725.0817-01) dated April 22, 2005 (Ex. Berko)	
		Office Action in co-pending Application No. 10/203,375 (Attorney Docket No. 06028.0018-00), dated May 13, 2005 (Ex. Punnose)	
		Office Action in co-pending Application No. 10/312,083 (Attorney Docket No. 05715.1187) dated April 18, 2005 (Ex. Venkat)	
		Office Action in co-pending Application No. 10/312,083 (Attorney Docket No. 05725.1187) dated October 1, 2004 (Ex. Venkat)	
		Office Action in co-pending Application No. 10/699,780 (Attorney Docket No. 05725.0895-02), dated June 15, 2005 (Ex. Venkat)	
		Office Action in co-pending Application No. 10/746,612 (Attorney Docket No. 05715.1338-01) dated September 20, 2004 (Ex. Venkat)	
		Office Action in co-pending Application No. 10/746,612 (Attorney Docket No. 05725.1338-01) dated June 15, 2005 (Ex. Venkat)	
		Office Action in co-pending Application No. 10/787,441, (Attorney Docket No. 05725.0795-02) dated April 5, 2005 (Ex. Venkat)	
L		Richard J. Lewis, Sr., "Ricinoleic Acid," Hawley's Condensed Chemical Dictionary 972 (13th. 1997)	
		U.S. District Court for the District of New Jersey Civil Docket for L'Oreal S.A. et al. v. Estee-Lauder Companies, Inc., et al., Civ. No. 04-1660 (HAA) (filed April 7, 2004) (retrieved January 2, 2005)	

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